## Stereoselective Synthesis of 4,5-Diethylidene-Oxazolidinones as New Dienes in *Diels-Alder* Reactions

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The *N*-substituted isomeric (4*Z*,5*Z*)- and (4*E*,5*Z*)-4,5-diethylideneoxazolidin-2-ones **5** and **6** were synthesized, the latter being favored during the one-step process from the *a*-diketone **1c** and different isocyanates. The steric interaction between the *N*-substituent and the Me group attached to the exocyclic diene moiety plays a decisive role in controlling the observed stereoselectivity, as suggested by the calculated free energies of the two isomers. Both dienes undergo efficient additions to symmetric dienophiles in thermal *Diels-Alder* reactions to yield the adducts **11** and **13**, respectively. These molecules displayed interesting C-H… $\pi$ , and C-H…X (X=O, Cl) interactions according to their X-ray crystal structures. Isomers **6** suffered highly stereo- and regioselective additions with nonsymmetrical dienophiles such as methyl vinyl ketone or methyl propiolate. Steric interactions, promoted by the inward-pointing Me group in **6**, seem to explain such selectivity. These results have also been rationalized by *ab initio* calculations in terms of the FMO theory.

**1.** Introduction. – Both the reactivity and stereoselectivity of the *Diels-Alder* reaction depend on an interplay of several factors, *e.g.*, the electronic demand of the substituents in both diene and dienophile, the anchimeric assistance of polar substituents, the presence of *Lewis* acid catalysts, the polarity of the medium, the  $\pi$ -facial differentiation, and pressure [1]. The perturbation produced by these variables on the stability of the *endo* and *exo* transition states, and on the regioselectivity as well, has been attributed to effects such as secondary orbital interactions [2], electrostatic forces [3], steric hindrance [4], hydrogen bonding [5], and attractive *Van der Waals* interactions [6].

Frontier-molecular-orbital (FMO) theory has commonly been used to explain the rate and regioselectivity of the *Diels-Alder* reaction [1a][7]. This theory and other models have been particularly successful for dienes and dienophiles that are not substituted by more than two functional groups [8]. In particular, the *endo* preference has been traditionally rationalized by stabilizing secondary orbital interactions in the transition state [1b][2]. However, this preference might also be justified [9] either by dominant steric repulsions in the *exo* transition state [10], or by electronic repulsions between  $\pi$ -diene and lone-pair electrons of heterosubstituents at the olefin [3d], or even by stabilizing  $\sigma$ - $\pi$  interactions between the diene and alkyl substituents of the olefin [6]. In other words, the reactivity in *Diels-Alder* reactions is not only controlled by orbital interactions but also influenced by other factors, for example, by the substitution pattern in the diene [4a][11]. The presence of a substituent in the '*in*-position' of the diene, as compared to the corresponding '*out*-diene', depletes the reactivity towards dienophile addition [12]. Consequently, both the stereo- and

regioselectivity of the reaction may also be altered, involving steric and electrostatic interactions in the transition state [13].



Recently, we have described a tandem condensation reaction between the aliphatic 1,2-diketone **1a** and some isocyanates **2** to yield the corresponding *N*-substituted *exo*-oxazolidin-2-ones **3** (*Scheme 1*) [14]. The use of the unsymmetrical 1,2-diketone **1b** conduced to the regio- and stereoselective formation of the dienes **4** [15]. The presence of the additional Me group enhanced both the reactivity and regioselectivity of the *Diels-Alder* reaction with nonsymmetrical olefins. Thereby, a high *endo*-stereoselectivity was observed.



With the aim of further exploring the effect of the geometry of substituted exo heterocyclic dienes on the stereochemical course of the *Diels-Alder* reaction, we now report the preparation of the isomeric 1,4-dimethyl dienes **5** and **6** (*Scheme 2*), which were reacted with a series of dienophiles. Calculated electronic energies and FMO analyses were examined to explain the stereochemical outcome of both diene formation and *Diels-Alder* reaction.

**2. Results and Discussion.** – 2.1. Synthesis and Stereoselectivity in the Formation of 4,5-Diethylideneoxazolidin-2-ones **5** and **6**. The condensation of the 1,2-diketone **1c** with a variety of isocyanates **2** was carried out in dioxane at room temperature in the presence of Et<sub>3</sub>N and Li<sub>2</sub>CO<sub>3</sub> (*Scheme 2*). In *Table 1*, the yields and ratios of the isomers **5**/6, obtained after purification by column chromatography, are given. Interestingly, only two of the four possible isomers were formed, *i.e.*, the dienes **7** and **8** were not detected in the crude mixtures by <sup>1</sup>H-NMR. The isomer ratio **5**/6 was found to be dependent on the substituent R of the isocyanates. However, the (4*E*,5*Z*)-isomer **6** was always the major component. Moreover, the presence of an alkyl group in **2g** induced the exclusive formation of a single isomer (**6g**). Nevertheless, in the case of aryl-substituted compounds it seems that there is no correlation between the electronic nature of the *N*-phenyl group and the stereochemical outcome of this reaction (*vide infra*).



Table 1. Condensation of 1c with Isocyanates 2a-2g<sup>a</sup>)

Entry	Isocyanate (R)	Dienes (ratio) <sup>b</sup> )	Yield [%] <sup>c</sup> )		
1	<b>2a</b> (Ph)	<b>5a/6a</b> (29:71)	50		
2	<b>2b</b> $(4-Cl-C_6H_4)$	<b>5b/6b</b> (28:72)	46		
3	$2c (4-Cl-C_6H_4)$	5c/6c (37:63)	67		
4	<b>2d</b> $(4-Me-C_6H_4)$	5d/6d (32:68)	39		
5	<b>2e</b> $(4-Me-C_6H_4)$	<b>5e/6e</b> (40:60)	58		
6	<b>2f</b> (4-MeO $-C_6H_4$ )	<b>5f/6f</b> (26:74)	24		
7	2g (CH <sub>2</sub> CH <sub>2</sub> Cl)	6g	64		

<sup>a</sup>) Dioxane,  $Et_3N$  (2.0 mol-equiv.),  $Li_2CO_3$  (1.2 mol-equiv.), r.t., 12 h. <sup>b</sup>) Determined by <sup>1</sup>H-NMR from the crude mixture. <sup>c</sup>) For the mixture **5**/6.

Partial separation of some of the **5/6** mixtures was accomplished only either by highperformance liquid chromatography (HPLC) (*LiChrospher*, MeCN/H<sub>2</sub>O 8:2, 1 ml/ min), or by flash chromatography (FC) in a SiO<sub>2</sub>-prepacked column performed on a *Chromatoflash Flash40i*<sup>®</sup> instrument (5 kbar of N<sub>2</sub>, hexane). These compounds were characterized spectroscopically, and the configurational assignment was established by NOE experiments (*Fig. 1*). Additional evidence came from the chemical shift observed for H-C=C(4) and for MeCH=C(4). Thus, in the isomers **6**, the signal for H-C=C(4) was shifted upfield with respect to **5**. Similarly, the resonance of MeCH=C(4) was shifted upfield in **5** compared to **6**. This is probably due to the diamagnetic anisotropic effect of the aryl ring attached to the N-atom. X-Ray crystal-structure analysis of the



Fig. 1. NOEs observed upon irradiation of protons in diene 6b

dienes 4 has shown the aryl ring in an orthogonal position with respect to both the heterocycle and the diene [15]. Therefore, the aryl ring is expected to shield the spatial region of the mentioned H-atoms in 6 and 5. This effect is more pronounced for the Me group ( $\Delta \delta \approx 0.6$  ppm) than for the olefinic H ( $\Delta \delta \approx 0.2$  ppm), since the former is closer to the center of the aromatic ring.

2.2. Reactivity of the Dienes **5** and **6** in Stereoselective Diels-Alder Reactions. The thermal Diels-Alder reaction (150°, 3 h) of a mixture of the dienes **5a/6a** (28:72) with dimethyl acetylenedicarboxylate (**9**) yielded the corresponding mixture of the adducts **10/11** (32:68) (Scheme 3). No difference in reactivity was observed between **5a** and **6a**, since a similar product distribution was determined by <sup>1</sup>H-NMR monitoring. Reactions at lower temperatures were conducted, but the reaction time greatly increased. The reactivity of the above dienes was estimated to lie between those of the dienes **3** and **4**, taking into account the optimum temperature for the addition to the same dienophiles [15].



Both the adducts 10 and 11 showed in their <sup>1</sup>H-NMR spectra a strong shielding effect of the Me-C(4) group vicinal to the N-Ph group compared to the other Me group (Me-C(7)), similar to 5 and 6. The major diastereoisomer 11 was recrystallized from CH<sub>2</sub>Cl<sub>2</sub>/hexane, and its structure was established by single-crystal X-ray diffraction (Fig. 2). Indeed, the analysis of this structure revealed an intramolecular  $C-H\cdots\pi$  interaction between Me-C(4) and the centroid (Cg) of the Ph ring (distance  $H \cdots Cg = 3.16 \text{ Å}; \gamma \text{ angle} = 33.10^{\circ}; C - H \cdots Cg = 148.20^{\circ}).$  This distance is typical for such weak interactions (2.5-3.7 Å) [16]. The interatomic distance between the H-atom of Me-C(4) and the aromatic *ipso*-C-atom was 2.67 Å, which also fits the average value for an intramolecular CH/Ar contact forming a six-membered ring (2.70 A) [17]. The intramolecular  $C-H\cdots\pi$  interaction has been supported by a second analysis of the X-ray data of 11 by the SHELX97 package [18], which compared the bond distances, angles, and the intramolecular distance of the H-atom of Me-C(4) to the centroid of the benzene ring with the database of the Cambridge Crystallographic Data *Centre.* The analysis of the X-ray data supports the hypothesis that the orientation of the N-Ph ring and the pertinent Me group is maintained both in solution and in the crystalline state.

In addition, three different intermolecular  $C-H\cdots O$  interactions in the crystal lattice of **11** were observed (*Fig. 3*): *a*) H-bonding between one of the *ortho*-protons of the Ph group with the O-atom of the oxazolidinone C=O group; *b*) interaction between one of the two remaining H-atoms of Me-C(4) and the O-atom of the MeO<sub>2</sub>C group at C(5); and *c*) H-bonding between one of the H-atoms of the Me group of



Fig. 2. X-Ray structure of compound 11, ORTEP representation (ellipsoids with 30% probability)

MeO<sub>2</sub>C at C(6) with the ester C=O group at C(6) in a neighboring molecule. The interaction of type *b*) is probably favored by the polarization of the C-atom due to the intramolecular C-H $\cdots \pi$  interaction with the Ph ring.



Fig. 3. View of the unit cell of compound 11, showing the intermolecular  $C-H\cdots O$  interactions

When the diene **6g** reacted with *N*-phenylmaleimide (**12**) under thermal conditions, only the *endo*-adduct **13** was obtained (*Scheme 3*). Its X-ray crystal structure [16] (*Fig. 4*) revealed an interesting feature: the crystal packing was stabilized by  $C-H\cdots O$ ,  $Cl\cdots O$ , and  $C-H\cdots Cl$  interactions between two molecules of  $CHCl_3$  (the crystallization



Fig. 4. X-Ray structure of compound 13, ORTEP representation (ellipsoids with 30% probability)

solvent) and three molecules of **13** (*Fig. 5*). The C–H···O interaction is observed between the O-atom of the oxazolidinone C=O group and the H–CCl<sub>3</sub>. The same O-atom forms a second contact with a Cl-atom, whereas the third contact takes place



Fig. 5. Unit-cell packing diagram of compound 13, showing the  $C-H \cdots O$ ,  $Cl \cdots O$ , and  $C-H \cdots Cl$  interactions with two molecules of  $CHCl_3$ 

between another Cl-atom and the H-atom in the *meta*-position of the maleimide N-Ph ring of a third molecule of **13**. An additional C-H···O interaction is observed between one of the H-atoms of the N-methylene group of the oxazolidinone and the O-atom of one of the C=O groups of the maleimide. This result is noteworthy in so far as 85% of all known organic crystals do not contain crystallization solvent [19]. Clathrate formation is generally associated with strong solvent-solute interactions, promoted by an enthalpic factor that counterbalances the entropic gain due to solvent expulsion from the crystal lattic. This is probably the case in the structure of **13**, since H-bonds with CHCl<sub>3</sub> belong to the strongest C-H···O interactions known [20].

As shown in *Scheme 4*, the thermal reaction of a mixture of **5a/6a** (29:71) with methyl propiolate (**14**) furnished a mixture of the regioisomers **17** and **18** *vs.* **19** and **20** (84/16). This ratio is higher than that of the starting mixture, indicating a higher reactivity of **6a**. Moreover, the regioselectivity depends on the configuration of the diene, since the ratio **17/18** of 92:8, resulting from the '*in*-diene' **6a**, is higher than that of **19/20** (62:38), resulting from the '*out*-diene' **5a**. The former ratio is comparable to that observed for the reaction with **4a**, but higher than that for **3a** (*Table 2, Entries 1 – 3*). However, the ratio **19/20** is similar to the regioselectivity observed in reactions with **3a**.

	Scheme 4	
$R \xrightarrow{O} N^{-Ph} \xrightarrow{T} CO_2Me$	$O = \bigvee_{\substack{N \\ Ph}}^{R} G^{2} Q^{2} Ph R^{1} R^{2} Q^{2} Ph R^{1} R^{2} Q^{2} $	CO <sub>2</sub> Me
<b>5a</b> (4 <i>Z</i> ), R = R <sup>1</sup> = Me	<b>17</b> $R = R^2 = Me, R^1 = H$	18
<b>6a</b> (4 <i>E</i> ), R = R <sup>1</sup> = Me	<b>19</b> $R = R^1 = Me, R^2 = H$	20
<b>4a</b> R = Me, R <sup>1</sup> = H	<b>21</b> R = R <sup>2</sup> = H, R <sup>1</sup> = Me	22
<b>3a</b> R = R <sup>1</sup> = H	<b>23</b> $R = R^1 = R^2 = H$	24

Table 2. Cycloaddition Reaction of Dienes 5 and 6 with Olefins  $2a-2g^a$ )

Entry	Diene (ratio)	Dienophile <sup>b</sup> )	$T [^{\circ}C]$	<i>t</i> [h]	Adducts (ratio) <sup>c</sup> )	Yield [%] <sup>d</sup> )
1	<b>5a/6a</b> (29:71)	14	150	4.0	<b>17/18/19/20</b> (77:7:10:6)	63
2	4a	14	130	1.0	21/22 (93:7)	90 <sup>e</sup> )
3	3a	14	120	6.0	<b>23/24</b> (64:40)	44 <sup>e</sup> )
4	6c	15	150	4.0	<b>25/26</b> (62:38)	60
5	4b	15	130	1.0	<b>27/28</b> (96:4)	91°)
6	6b	16	150	8.0	<b>29/30</b> (51:49)	38
7	4a	16	120	8.0	<b>31/32</b> (80:20)	60

<sup>a</sup>) Xylene, hydroquinone (1-2%), N<sub>2</sub> atmosphere. <sup>b</sup>) 2.0 mol-equiv. <sup>c</sup>) Determined by <sup>1</sup>H-NMR or GC/MS (entry 1) of the crude mixture. <sup>d</sup>) For the major isomer after column chromatography and recrystallization. <sup>e</sup>) See [15].

When the diene **6c** reacted with methyl vinyl ketone (MVK, **15**), a single regioisomer as a mixture of *endo/exo*-stereoisomers was found (*Scheme 5*). In contrast, for diene **3b** (R' = 4-Cl-C<sub>6</sub>H<sub>4</sub>) a mixture of regioisomers was obtained [15]. The



*endo*-stereoselectivity, however, was not as pronounced in the case of **4b** (*Table 2*, *Entries 4* and 5).

The captodative dienophile (1-acetylvinyl) 4-nitrobenzoate (PNB, **16**) [21] has proven to be both highly reactive and regioselective in *Diels-Alder* [22] and in 1,3-dipolar [23] cycloadditions. Indeed, upon reaction with the diene **4a** (*Scheme 6*), high regio- and *endo*-stereoselectivities were found (*Table 2, Entry 7*). In contrast, the addition of **16** to the diene **6b** provided a single regioisomer, but no stereoselectivity was observed (*Table 2, Entry 6*).



Both NOE and 2D-NMR experiments were used to establish the structures of the major isomers obtained in these cycloadditions suggesting, a half-chair conformation for the cyclohexene moiety in the adducts **25**, **29**, and **31**, as observed for analogous compounds [15][22]. The Me groups  $H_3C(8)$  and  $H_3C(9)$  are in pseudo-axial positions, with the Ac group lying in an equatorial position, even in the case of **29** and **31**, where the preferred conformation of the 4-nitrobenzoyloxy (PNB) group is axial.

2.3. Mechanism for the Stereoselective Formation of 5 and 6, Including Calculations of Electronic and Conformational Energies. The exclusive formation of the (5Z)-stereoisomers 5 and 6 supports a mechanism proposed earlier for the one-pot reaction leading to 3 and 4 [15]. As shown in Scheme 7 the thermodynamically more stable (Z)-enolate 34 of the 1,2-diketone 1c, preferentially undergoes addition to isocyanate 2. Therefore, the configuration of the ethylidene fragment at C-(4) should be established in the final step, when dehydration of the intermediate 36 takes place (Scheme 7). This





hypothesis was supported after isolating **36f** (R = 4-MeO $-C_6H_4$ ) in 17% yield during the preparation of the dienes **5f/6f**, and by the isolation of alcohol **36j** (R = 3-MeO $-C_6H_4$ ) as the only product, when the reaction was carried out with (3methoxyphenyl) isocyanate. Therefore, the ratio of the isomers **5** and **6** should depend on their relative thermodynamic stabilities, which are mainly influenced by two possible steric interactions: *a*) the repulsive interaction between Me-CH=C(4) and the N-substituent for isomer **5**, and *b*) the steric repulsion between the (*E*)-configured Me group (Me-C=C(4)) and the (*Z*)-configured olefinic H (H-C=C(5)) in the case of isomer **6**. Consequently, the lower proportion of **5** in the reaction mixture suggests that the interaction between the Me group and the N-substituent are dominant.

This hypothesis was confirmed by *ab initio*  $(HF/3-21G^* \text{ and } 6-31G^*)$  [24] calculations for both the isomers **5** and **6**. The relative electronic energies for the prepared dienes **5a/6a**, **5f/6f**, **5g/6g**, and for the unknown dienes **5h/6h** and **5i/6i** are reported in *Table 3*. In all cases, isomer **6** was found to be more stable than **5**, except for





Level	5a - 6a	5f-6f	5g-6g	5h-6g	5i-6
3-21G*	1.27	1.32	1.85	-2.01	4.11
6-31G*	1.22	1.31	1.78	-2.08	4.19

<sup>a</sup>) As a difference between the values of electronic energies for each pair of dienes.

the unsubstituted dienes **5h/6h**. The largest difference was calculated for the bulky N-(*tert*-butyl) derivatives, suggesting that the larger the N-substituent, the less stable isomer **5** is. Hence, it appears that an energy difference of *ca*. 1.8 kcal/mol could be enough to shift the thermodynamic equilibrium toward the '*in*-diene', as suggested by the fact that **6g** was obtained as the single stereoisomer.

These calculations prompted us to attempt the preparation of the dienes 5i/6i by treatment of 1c with *tert*-butyl isocyanate under optimized reaction conditions; however, no conversion was detected. This is probably due to the same steric effect, but now affecting the intramolecular cyclization from the intermediate 35 to the oxazolidinone 36, which seems to be the rate-determining step (*Scheme 7*) [15].

*Fig.* 6 depicts the minimum-potential-energy conformations for **5a/6a**, **5g/6g**, and **5i/6i**, showing a heavily twisted heterocyclic ring for both the *N*-(*t*-Bu) derivatives **5i/6i** in order to relieve the steric strain between the diene and the N-substituent. Dienes **5a/6a** avoid such repulsive interactions by imposing an almost 90° torsion angle between the phenyl ring and the  $\pi$ -plane of the diene, as observed by X-ray-analysis even for the less strained dienes **4** [15]. As expected, in the derivatives **5g/6g**, the chloroethyl chain rotates as far away as possible, leaving just one H close to the diene, with the chloromethyl substituent adopting an orthogonal conformation with respect to the



Fig. 6. Minimum-potential-energy conformations of **5a-6a**, **5g-6g**, and **5i-6i**, showing (in parentheses) the torsion angles of the diene moieties

plane of the heterocycle. The calculated torsion angle of the diene moiety was  $24.0^{\circ}$  for the '*in*-diene' **6i**, and  $31.4^{\circ}$  for the '*out*-diene' **5i**, indicating that the strain generated by the Me group in **6i** is less significant compared to **5i**. This would explain the relatively small effect, produced by additional '*in*-Me'/'*in*-H'-repulsions in the diene moiety of **6**, on the distance between the 1,4-dimethylene C-atoms with respect to **5** (the calculated 1,4-distances for **5a** and **6a** are 3.14 and 3.25 Å, respectively). In any case, the possible difference in reactivity towards a dienophile might not be due to this factor, as it has been suggested for explaining the lower reactivity of the '*in*-isomer' of exocyclic dienes, substituted with a Cl-atom [12e]. However, the presence of a non-zero torsion angle in the diene moiety might have an impact on the reaction rate, since the *Diels-Alder* reaction requires the diene to adopt the s-*cis*-conformation [1d][3h][11][12d][25].

2.4. Steric and Electronic Effects in the Diels-Alder Reactions of 5 and 6. The high endo-selectivity in reactions of the dienes 3 and 4 with dienophiles such as 15 under thermal conditions is not well-understood. Perhaps secondary orbital-interactions are taking place, stabilizing this particular transition-state preferentially in spite of the presence of an N-aryl ring [15]. Similar effects might play a role in the reaction of diene 6g with dienophile 12, which exclusively yielded the endo-isomer 13. In addition, destabilizing steric interactions may be involved with the (E)-configured Me group during the exo-approach (Fig. 7). This factor also seems to contribute to the endo/exo-ratio for cycloadditions with the captodative dienophile 16, since the high endo-preference observed for reactions with 4a (Table 2, Entry 7) was lost with 6b (Table 2, Entry 6).



Fig. 7. endo and exo Diels-Alder transition states for the addition of diene **6g** to olefin **12**, showing possible effects that control the endo preference

Furthermore, these steric interactions with the '*in*-Me group' may be also at the origin of the higher regioselectivity in reactions between 14 and 6a (17/18 92:8) as compared to 14 and 5a (19/20 62:38) (*Table 2*). The polarizability of the  $\pi$ -orbital in 5a should be similar to that of the unsubstituted dienes 3, since the hyperconjugation effect of the Me groups in 5a is outbalanced, leaving the control of the orientation of the cycloaddends to the electronic effect of the heteroatoms. The results seem to be in agreement with this hypothesis, because the reaction between 3a (R' = Ph) and 14 led to a mixture of regioisomers in similar amounts (23/24 60:40) (*Table 2*).

To evaluate the electronic contribution to the regioselectivity of the *Diels-Alder* reaction, we calculated the FMO coefficients (*C*) for both **5a** and **6a** at the 6-31*G*\* level and compared them with those of **3a** and **4a** (*Table 4*). We found that the relative magnitude of the coefficient  $C_4$  was bigger than  $C_1$  for the HOMO of both the dienes **5a** and **6a**. However,  $\Delta C$  was larger for **6a**, suggesting an enhancement of regioselectivity.

$ \begin{array}{c} O \\ Ph \\ N \\ R \\ 4 \\ 4 \end{array} $	Ph~N 3 4 4	O 4 3 1 2 1	
5a R = R' = Me 3a R = R' = H 4a R = H, R' = Me	6a	14	<b>15</b> R = H <b>16</b> R = 4-NO <sub>2</sub> -C <sub>6</sub> H <sub>4</sub> COO

HOMO<sup>b</sup>)

 $C_1$ 

 $C_2$ 

 $C_3$ 

 $C_4$ 

Compd<sup>d</sup>)

Ε

## Table 4. Ab Initio 6-31 G\* Calculated Energies [eV] and Coefficients (C<sub>i</sub>) of the Frontier Molecular Orbitals of the Dienes 5a, 6a, 3a and 4a, and the Olefins 14, 15, and 16<sup>a</sup>)

5a	-8.2675	-0.2573	-0.1883	0.2210	0.3164	-0.0591	3.2832	0.2630	-0.2250	-0.2342	0.2763	-0.0133
6a	-8.4601	-0.2154	-0.1571	0.1947	0.2782	-0.0628	3.1983	0.2532	-0.1890	-0.2005	0.2438	0.0094
<b>3a</b> <sup>f</sup> )	-8.8342	0.2591	0.1758	-0.2173	-0.3339	-0.0748	2.9470	-0.2690	0.2501	0.2470	-0.2625	0.0065
<b>4a</b> <sup>f</sup> )	-8.5804	-0.2679	-0.2084	0.2042	0.3278	-0.0599	3.1448	-0.2861	0.2345	0.2535	-0.2592	0.0269
14 <sup>f</sup> )	-11.4648	-0.3746	-0.3944	0.0343	0.1924	-0.0198	3.2972	0.2895	-0.1830	-0.3391	0.2786	0.1065
15 <sup>g</sup> )	-10.4895	-0.3464	-0.3669	0.0327	0.2213	-0.0205	2.9222	0.3109	-0.2069	-0.2809	0.2549	0.1040
<b>16</b> <sup>g</sup> )	-11.0123	-0.3593	-0.3565	0.0236	0.1676	0.0028	2.4588	0.2940	-0.2386	-0.2889	0.2800	0.0554
<sup>a</sup> ) These are the values of the p <sub>-</sub> coefficients: the relative p <sub>-</sub> contributions and their $\Delta C$ are analogous. <sup>b</sup> ) Energies and coefficients of the NHOMO of olefin <b>14</b> and of												

 $\Delta C_1^{e}$ )

LUMO<sup>c</sup>)

 $C_2$ 

 $C_3$ 

 $C_1$ 

Ε

<sup>a</sup>) These are the values of the  $p_z$  coefficients; the relative  $p_z$  contributions and their  $\Delta C$  are analogous. <sup>b</sup>) Energies and coefficients of the NHOMO of olefin **14** and of the 2NHOMO of olefin **16**; the corresponding HOMOs do not have any  $p_z$  contribution. <sup>c</sup>) Energy and coefficients of the NLUMO of olefin **16**. <sup>d</sup>) For the most stable planar s-*cis*-conformation for **15**, and nonplanar s-*trans*-conformation for **16**. <sup>e</sup>) For olefins: C(1) - C(2), for dienes: C(1) - C(4). <sup>f</sup>) See [15]. <sup>g</sup>) See [26].

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This, however, is inconsistent with the HOMO energies (*Table 4*), whose relative values indicate that **5a** should be more reactive than **6a**. Moreover, in terms of perturbation theory, **5a** should also be more selective than **6a** [7g][27]. This apparent contradiction can be solved if steric repulsions from the '*in*-Me group' are considered. The latter prevents the approach of the methoxycarbonyl group of the dienophile towards the diene moiety in the minor isomer **18** as compared to the major isomer **17**, in which the pertinent groups are further away from one another. This hypothesis is also supported by the fact that **6c** ( $\mathbf{R}' = 4$ -ClC<sub>6</sub>H<sub>4</sub>) reacts with MVK to exclusively yield the regioisomer **25/26**, whereas the thermal addition of **3a** or **3c** ( $\mathbf{R}' = Ph$  or 4-ClC<sub>6</sub>H<sub>4</sub>) with the same dienophile provided a mixture of regioisomers (8:2) [15].



Fig. 8. Diels-Alder transition states for the addition of diene **6a** to olefin **14**, showing possible effects that control the preferred para-arrangement

From the FMO-theory viewpoint, the observed regioselectivity would be explained on the basis of coefficient differences for the energetically more favorable HOMO/ LUMO interaction (*Table 4*). Assuming that the largest FMO coefficients determine bond formation in the transition state [7], and considering that the relative magnitude of  $C_4$  is bigger than that of  $C_1$  in the HOMO of both **5a** and **6a**, – a '*para*-orientation' is expected, in agreement with the experiment. The same prediction can be made for the dienes **6b,c** used in the cycloadditions, since a negligible contribution of the *N*-aryl substituent to the polarizability of the  $\pi$ -system is expected for **3** and **4** [15]. Therefore, for these dienes, the regioselectivity in *Diels-Alder* reactions cannot be explained simply on the basis of electronic effects, but has to be in part explained by steric interactions, especially for the '*in*-substituted' dienes.

**3.** Conclusions. – The stereoselective condensation of the 1,2-diketone 1c with several isocyanates gave rise to the 4,5-diethylidene-1,3-oxazolidin-2-one 5/6, whose structure differs in the geometry of the ethylidene fragment at C-(4). The formation of the preferred '*in*-geometry' of 6a - g was thermodynamically controlled during the dehydration of the intermediate 36 due to the steric strain between the N-substituent of the oxazolidine and the Me group of the exocyclic diene moiety. Compounds 6 proved

to be highly regio- and stereoselective in thermal *Diels-Alder* cycloadditions with both the symmetric and unsymmetric olefins 12 and 14–16. FMO-theory, based on *ab initio* calculations, seems to predict the observed regioselectivities. However, the steric interactions between the '*in*-Me group' of the diene and the substituents in the dienophiles, better explain the high selectivity of such processes.

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## **Experimental Part**

General. Anal. TLC: Merck silica gel 60  $F_{254}$ -coated plates, visualization by a long- and short-wavelength UV lamp. Flash chromatography (FC): Flash40i apparatus of Biotage, Dyax Corp. All moisture-sensitive reactions were carried out under N<sub>2</sub> in oven-dried glassware. Dioxane, Et<sub>2</sub>O, THF, toluene, and xylene were freshly distilled from Na, CH<sub>2</sub>Cl<sub>2</sub>, AcOEt, MeCN, and Me<sub>2</sub>SO from CaH<sub>2</sub> prior to use. Li<sub>2</sub>CO<sub>3</sub> was dried overnight at 120° prior to use. Et<sub>3</sub>N was distilled from NaOH. All other reagents were used without further purification.

M.p.: uncorrected; *Electrothermal* capillary melting-point apparatus. IR Spectra: *Perkin-Elmer 1600* spectrophotometer. <sup>1</sup>H- (300 MHz) and <sup>13</sup>C-NMR (75.4 MHz) Spectra: *Varian Gemini-300* instrument in CDCl<sub>3</sub>, (CD<sub>3</sub>)<sub>2</sub>SO or (CD<sub>3</sub>)<sub>2</sub>CO with Me<sub>4</sub>Si as internal standard. MS: EI mode (70 eV); *Hewlett-Packard 5971A* spectrometer. X-Ray Analyses were performed with a *Siemens P4* diffractometer. Microanalyses: *M-H-W Laboratories* (Phoenix, AZ), and *Centro de Investigaciones Químicas, Universidad Autónoma de Hidalgo* (Pachuca, Hgo., Mexico). *Abbreviations:* ID = internal diameter, IT = initial temp., FT = final temp.,  $t_R$  = retention time.

General Procedure for the Preparation of N-Substituted ( $4Z_5Z$ )- and ( $4E_5Z$ )-Diethylidene-1,3-oxazolidin-2-ones **5a** – **5g** and **6a** – **6g**. A soln. of 3,4-hexanedione (**1c**) (0.4 g, 3.5 mmol) in anh. dioxane (3 ml) was added dropwise to a magnetically stirred soln. of Et<sub>3</sub>N (0.71 g, 70 mmol) in anh. dioxane (2 ml) containing dried Li<sub>2</sub>CO<sub>3</sub> (0.3 g, 4.2 mmol). The mixture was stirred at r.t. under N<sub>2</sub>, for 30 min. Then, a soln. of the corresponding isocyanate (5.2 mmol) in anh. dioxane (2 ml) was added dropwise, and stirring was continued for 12 h. The mixture was filtered and the solvent was removed *in vacuo*. The residue was prepurified by CC using SiO<sub>2</sub>, conditioned with Et<sub>3</sub>N (10%) in hexane, eluant: hexane/AcOEt 9:1. The isomeric mixtures were separated by HPLC (*LiChrospher*; MeCN/H<sub>2</sub>O, 8:2, 1 ml/min) or by FC (column: 10 × 3 cm, 5 kBar N<sub>2</sub> pressure, hexane).

(4Z,5Z)- and (4E,5Z)-4,5-Diethylidene-3-phenyl-1,3-oxazolidin-2-one (**5a** and **6a**). The reaction with 0.62 g of phenyl isocyanate (**2a**) gave 0.38 g (50%) of a mixture of **5a/6a** (29:71) as a pale yellow oil, which was separated by HPLC.

*Data of* **6a**:  $R_f$  0.52 (hexane/AcOEt 9:1). IR (film): 1779, 1665, 1496, 1403, 1310, 1251, 1191, 1092, 1016, 782, 718. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)<sup>1</sup>): 1.76 (br. *d*, *J* = 7.6, 3 H–C(9)); 1.92 (br. *d*, *J* = 7.2, 3 H–C(8)); 4.83 (*q*, *J* = 7.6, 1 H–C(6)); 5.29 (*q*, *J* = 7.2, H–C(7)); 7.26–7.51 (*m*, 5 arom. H); signals attributed to the minor isomer **5a**: 1.15 (*d*, *J* = 7.8, 3 H–C(9)); 2.00 (*d*, *J* = 7.8, 3 H–C(8)); 5.05 (*q*, *J* = 7.6, H–C(6)); 5.18 (*q*, *J* = 7.3, H–C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 10.6 (C(8)); 12.0 (C(9)); 97.8 (C(6)); 103.8 (C(7)); 127.4, 128.6, 129.3, 130.9 (6 arom. C); 133.0 (C(4)); 143.3 (C(5)); 152.1 (C(2)); selected signals attributed to **5a**: 9.8 (C(8)); 10.8 (C(9)); 94.5 (C(6)); 94.7 (C(7)); 128.5; 128.8; 135.1 (C(4)); 143.9 (C(5)); 153.4 (C(2)). EI-MS (70 eV): 215 (100, *M*<sup>+</sup>), 200 (12), 170 (35), 156 (36), 132 (40), 130 (47), 104 (15), 91 (16), 77 (75). Anal. calc. for C<sub>13</sub>H<sub>13</sub>NO<sub>2</sub>: C 72.54; H 6.09; N 6.51; found: C 72.52, H 6.31, N 6.39.

(4Z,5Z)- and (4E,5Z)-4,5-Diethylidene-3-(3-chlorophenyl)-1,3-oxazolidin-2-one (**5b** and **6b**). The reaction with 0.80 g of (3-chlorophenyl) isocyanate (**2b**) yielded 0.4 g (46%) of a mixture of **5b/6b** (28:72), which was separated by FC.

*Data of* **6b**: Colorless crystals.  $R_f$  0.51 (hexane/AcOEt 9:1). M.p. 126–127° (CH<sub>2</sub>Cl<sub>2</sub>/hexane, 1:1). IR (KBr): 1770, 1711, 1589, 1478, 1372, 1101, 1074, 761. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)<sup>1</sup>): 1.78 (br. d, J = 7.6, 3 H - C(9)); 1.92 (br. d, J = 7.2, 3 H - C(8)); 4.87 (q, J = 7.6, H - C(6)); 5.30 (q, J = 7.2, H - C(7)); 7.20–7.50

1) Trivial numbering of atoms according to Scheme 2 was used both in <sup>1</sup>H- and <sup>13</sup>C-NMR assignments.

(*m*, 4 arom. H); signals attributed to the minor isomer **5b**: 1.21 (d, J = 7.8, 3 H–C(9)); 1.81 (d, J = 6.8, 3 H–C(8)); 5.09 (q, J = 7.8, H–C(6)); 5.21 (q, J = 6.8, H–C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 11.1 (C(8)); 12.4 (C(9)); 98.3 (C(6)); 103.6 (C(7)); 126.1, 128.1, 128.9, 130.7 (4 arom. C); 133.0 (C(4)); 134.5, 135.3 (2 arom. C); 143.4 (C(5)); 152.5 (C(2)); selected signals attributed to **5b**: 10.2 (C(8)); 11.6 (C(9)); 95.2 (C(6)); 95.8 (C(7)); 127.3; 130.1; 142.8 (C(5)). EI-MS (70 eV): 251 (26,  $[M + 2]^+$ ), 249 (100,  $M^+$ ), 204 (19), 190 (20), 166 (43), 154 (12), 138 (14), 125 (12), 111 (36), 75 (30), 67 (27). Anal. calc. for C<sub>13</sub>H<sub>12</sub>CINO<sub>2</sub>: C 62.53, H 4.84, N 5.61; found: C 62.41, H 5.00, N 5.42.

(4Z,5Z)- and (4E,5Z)-4,5-Diethylidene-3-(4-chlorophenyl)-1,3-oxazolidin-2-ones (**5c** and **6c**). The reaction with 0.58 g of (4-chlorophenyl) isocyanate (**2c**) yielded 0.58 g (67%) of a mixture of **5c/6c** (37:63), which was separated by FC.

*Data of* **6c**: Colorless crystals.  $R_f$  0.59 (hexane/AcOEt 9:1) M.p. 120–121° (CH<sub>2</sub>Cl<sub>2</sub>/hexane 1:1). IR (KBr): 1785, 1667, 1493, 1403, 1089. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)<sup>1</sup>): 1.77 (br. *d*, *J* = 7.6, 3 H–C(9)); 1.92 (br. *d*, *J* = 7.2, 3 H–C(8)); 4.83 (*q*, *J* = 7.6, H–C(6)); 5.29 (*q*, *J* = 7.2, H–C(7)); 7.22–7.28 (*m*, 2 arom. H); 7.42–7.49 (*m*, 2 arom. H); selected signals attributed to the minor isomer **5c**: 1.19 (*d*, *J* = 7.8, 3 H–C(9)); 1.80 (*d*, *J* = 7.2, 3 H–C(8)); 5.06 (*q*, *J* = 7.8, H–C(6)); 5.19 (*q*, *J* = 7.2, H–C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 11.1 (C(8)); 12.4 (C(9)); 98.2 (C(6)); 103.6 (C(7)); 129.1, 130.0, 131.9 (3 arom. C); 133.1 (C(4)); 134.5 (arom. C); 143.9 (C(5)); 152.4 (C(2)); selected signals attributed to **5c**: 10.2 (C(8)); 11.5 (C(9)); 94.9 (C(6)); 95.6 (C(7)); 129.4; 132.9; 134.1; 144.0 (C(5)); 152.8 (C(2)). EI-MS (70 eV): 251 (32, [*M*<sup>+</sup>+2]), 249 (100, *M*<sup>+</sup>), 234 (9), 204 (7), 190 (16), 166 (40), 151 (11), 138 (17), 125 (15), 111 (27), 75 (18). Anal. calc. for C<sub>13</sub>H<sub>12</sub>CINO<sub>2</sub>: C 62.53, H 4.84, N 5.61; found: C 62.40, H 4.78, N 5.60.

(4Z,5Z)- and (4E,5Z)-4,5-Diethylidene-3-(3-methylphenyl)-1,3-oxazolidin-2-one (5d and 6d). The reaction with 0.69 g of (2-methylphenyl) isocyanate (2d) yielded 0.31 g (39%) of a mixture of 5d/6d (32:68), which was separated by FC:

*Data of* **6d**: Pale yellow oil.  $R_{\rm f}$  0.56 (hexane/AcOEt 9 :1). IR (film): 1785, 1669, 1491, 1251, 1027. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)<sup>1</sup>): 1.76 (br. d, J = 7.6,  $3 \, \text{H} - \text{C}(9)$ ); 1.92 (br. d, J = 7.2,  $3 \, \text{H} - \text{C}(8)$ ); 2.39 ( $s, MeC_6H_4$ ); 4.81 (q, J = 7.6, H - C(6)); 5.27 (q, J = 7.2, H - C(7)); 7.07 – 7.39 ( $m, 4 \, \text{arom. H}$ ); selected signals attributed to the minor isomer **5d**: 1.16 (d, J = 7.8,  $3 \, \text{H} - \text{C}(9)$ ); 2.37 ( $s, MeC_6H_4$ ); 5.03 (q, J = 7.8, H - C(6)); 5.17 (q, J = 7.5, H - C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 11.1 (C(8)); 12.4 (C(9)); 21.2 ( $MeC_6H_4$ ); 98.0 (C(6)); 104.2 (C(7)); 124.8, 128.3, 129.6, 130.9 (5 arom. C); 133.1 (C(4)); 139.8 (arom. C); 143.6 (C(5)); 152.7 (C(2)); selected signals attributed to **5d**: 10.2 (C(8)); 14.0 (C(9)); 22.9 ( $MeC_6H_4$ ); 94.6 (C(6)); 95.1 (C(7)); 124.9, 128.4, 129.5 (4 arom. C); 133.3 (C(4)); 139.3 (arom. C). EI-MS (70 eV): 229 (84,  $M^+$ ), 214 (14), 184 (28), 170 (68), 146 (84), 144 (82), 131 (25), 118 (25), 105 (20), 91 (100), 65 (67). Anal. calc. for C<sub>14</sub>H<sub>15</sub>NO<sub>2</sub>: C 73.34, H 6.59, N 6.11; found: C 73.17, H 6.23, N 5.96.

(4Z,5Z)- and (4E,5Z)-4,5-Diethylidene-3-(4-methylphenyl)-1,3-oxazolidin-2-one (**5e** and **6e**). The reaction with 0.69 g of (4-methylphenyl) isocyanate (**2e**) gave 0.54 g (67%) of a mixture of **5e/6e** (40:60), which was separated by FC.

*Data of* **6e**: Pale yellow oil.  $R_{\rm f}$  0.55 (hexane/AcOEt 9:1). IR (film): 1741, 1512, 1360, 1218, 750. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)<sup>1</sup>): 1.75 (br. d, J = 7.6, 3 H - C(9)); 1.91 (br. d, J = 7.2, 3 H - C(8)); 2.39 ( $s, MeC_6H_4$ ); 4.81 (q, J = 7.6, H - C(6)); 5.27 (q, J = 7.2, H - C(7)); 7.14 – 7.32 (m, 4 arom. H); selected signals attributed to the minor isomer **5e**: 1.16 (br. d, J = 7.6, 3 H - C(9)); 1.80 (br. d, J = 7.2, 3 H - C(8)); 2.38 ( $s, MeC_6H_4$ ); 5.02 (q, J = 7.6, H - C(6)); 5.17 (q, J = 7.2, H - C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 11.0 (C(8)); 12.3 (C(9)); 21.1 ( $MeC_6H_4$ ); 97.9 (C(6)); 104.1 (C(7)); 127.6, 130.3, 130.5 (5 arom. C); 133.5 (C(4)); 138.7 (1 arom. C); 143.6 (C(5)); 152.8 (C(2)); selected signals attributed to **5e**: 10.2 (C(8)); 20.4 ( $MeC_6H_4$ ); 94.5 (C(6)); 95.0 (C(7)); 127.4 (2 arom. C); 129.9 (2 arom. C); 144.2 (C(5)); 154.2 (C(2)). EI-MS (70 eV): 229 (100,  $M^+$ ), 214 (32), 184 (29), 170 (53), 146 (83), 144 (80), 118 (33), 105 (35), 91 (96), 65 (71). Anal. calc. for C<sub>14</sub>H<sub>15</sub>NO<sub>2</sub>: C 73.34, H 6.59, N 6.11; found: C 73.18, H 6.49, N 6.33.

(4Z,5Z)- and (4E,5Z)-4,5-Diethylidene-3-(4-methoxyphenyl)-1,3-oxazolidin-2-one (**5f** and **6f**) and (5Z)-4-Ethyl-5-ethylidene-4-hydroxy-3-(4-methoxyphenyl)-1,3-oxazolidin-2-one (**36f**). The reaction with 0.77 g of (4methoxyphenyl) isocyanate (**2f**) gave 0.38 g (44%) of a mixture of **5f/6f** (26:74) and 0.16 g (17%) of **36f** as a white powder. The mixture of **5f/6f** was separated by FC.

*Data of* **6f**: Pale yellow oil.  $R_f$  0.50 (hexane/AcOEt, 9:1). IR (film): 1778, 1513, 1249, 1020. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)<sup>1</sup>): 1.76 (br. d, J = 7.6, 3 H - C(9)); 1.91 (br. d, J = 7.2, 3 H - C(8)); 3.83 (*s*, *MeO*); 4.76 (*q*, J = 7.6, H - C(6)); 5.27 (*q*, J = 7.2, H - C(7)); 6.92 – 7.04 (*m*, 2 arom. H); 7.18 – 7.24 (*m*, 2 arom. H); selected signals attributed to the minor isomer **5f**: 1.20 (br. d, J = 7.2, 3 H - C(9)); 1.82 (br. d, J = 7.2, 3 H - C(8)); 5.01 (*q*, J = 7.2, H - C(6)); 5.17 (*q*, J = 7.2, H - C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 11.1 (C(8)); 12.3 (C(9)); 55.5 (MeO); 97.9 (C(6)); 104.2 (C(7)); 114.2, 125.7, 129.1 (5 arom. C); 133.8 (C(4)); 143.6 (C(5)); 152.9 (C(2)); 159.6 (arom. C);

signals attributed to **5f**: 10.2 (C(8)); 10.9 (C(9)); 94.4 (C(6)); 95.1 (C(7)); 114.3, 129.2 (4 arom. C). EI-MS (70 eV): 245 (100,  $M^+$ ), 230 (10), 214 (6), 200 (13), 186 (31), 162 (31), 134 (23), 108 (17), 92 (15), 77 (14). Anal. calc. for C<sub>14</sub>H<sub>15</sub>NO<sub>3</sub>: C 68.56, H 6.16, N 5.71; found: C 68.31, H 5.89, N 5.51.

*Data of* **36f**: colorless crystals.  $R_t$  0.42 (hexane/AcOEt 8 : 2). M.p. 108–109° (CH<sub>2</sub>Cl<sub>2</sub>/hexane 7 : 3). IR (KBr): 3366, 1760, 1707, 1601, 1490, 1240, 1149. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>): 0.76 (t, J = 7.5, 3 H–C(9)); 1.69 (d, J = 7.2, 3 H–C(8)); 1.67–1.85 (m, 2 H–C(6)); 3.80 (s, MeO); 4.22 (br. s, OH); 5.00 (q, J = 7.2, H–C(7)); 6.86–6.89 (m, 2 arom. H); 7.36–7.40 (m, 2 arom. H). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 8.0, 9.9 (C(9), C(8)); 29.7 (C(6)); 55.4 (MeO); 91.3 (C(4)); 100.0 (C(7)); 114.2, 126.3, 127.9 (5 arom. H); 149.1 (C(5)); 153.6 (C(2)); 158.6 (arom. H). EI-MS (70 eV): 245 (100, [M – 18]<sup>+</sup>), 214 (5), 186 (33), 162 (30), 134 (28), 108 (25), 77 (14), 64 (14). Anal. calc. for C<sub>14</sub>H<sub>17</sub>NO<sub>4</sub>: C 63.85, H 6.51, N 5.32; found: C 63.68, H 6.36, N 5.37.

(4E,5Z)-3-(2-Chloroethyl)-4,5-diethylidene-1,3-oxazolidin-2-one (6g). The reaction with 0.55 g of (2-chloroethyl) isocyanate (2g) yielded, after purification by CC (SiO<sub>2</sub>; hexane), 0.45 g (64%) of 6g as a pale yellow oil.  $R_{\rm f}$  0.36 (hexane/AcOEt 9:1). IR (film): 1768, 1658, 1417, 1323, 1088, 1009, 754. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)<sup>1</sup>): 1.82 (br. d, J = 7.5, 3 H - C(9)); 1.87 (br. d, J = 7.2, 3 H - C(8)); 3.64 (t, J = 6.7, CH<sub>2</sub>N); 3.81 (t, J = 6.7, CH<sub>2</sub>Cl); 4.95 (q, J = 7.5, H - C(6)); 5.25 (q, J = 7.2, H - C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 11.1 (C(8)); 12.5 (C(9)); 39.1 (CH<sub>2</sub>N); 42.3 (CH<sub>2</sub>Cl); 96.5 (C(6)); 105.0 (C(7)); 131.1 (C(4)); 143.3 (C(5)); 153.5 (C(2)). EI-MS (70 eV): 203 (30, [M + 2]<sup>+</sup>), 201 (100,  $M^+$ ), 186 (11), 166 (56), 152 (17), 124 (16), 111 (16), 83 (21), 56 (70). Anal. calc. for C<sub>9</sub>H<sub>12</sub>ClNO<sub>2</sub>: C 53.60, H 12.10, N 6.95; found: C 53.79, H 12.32, N 6.77.

(5Z)-4-*Ethyl-5-ethylidene*-4-*hydroxy*-3-(3-*methoxyphenyl*)-1,3-oxazolidin-2-one (**36j**). The reaction with 0.77 g of (3-methoxyphenyl) isocyanate (**2j**) gave 0.42 g (45%) of **36j** as colorless crystals.  $R_f$  0.43 (hexane/AcOEt 8:2). M.p. 105 – 106° (CH<sub>2</sub>Cl<sub>2</sub>/hexane, 1:1). IR (KBr): 3370, 1763, 1706, 1598, 1490, 1387, 1253, 1073, 1011. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)<sup>1</sup>): 0.78 (t, J = 7.5, 3 H - C(9)); 1.77 (d, J = 7.2, 3 H - C(8)); 1.88 – 2.01 (m, 2 H - C(6)); 3.56 (br. s, OH); 3.80 (s, MeO); 5.06 (q, J = 7.2, H - C(7)); 6.84 – 6.91 (m, 1 arom. H); 7.14 – 7.21 (m, 2 arom. H); 7.28 – 7.34 (m, 1 arom. H). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 8.1 (C(8)); 10.0 (C(9)); 29.9 (C(6)); 5.5.3 (MeO); 91.6 (C(4)); 100.1 (C(7)); 111.9, 113.0, 118.2, 129.7, 129.9 (5 arom. C); 139.8 (C(5)); 152.9 (C(2)); 164.2 (arom. C). EI-MS (70 eV): 245 (90, [M - 18]<sup>+</sup>), 214 (5), 186 (20), 162 (100), 134 (20), 92 (21), 77 (37), 55 (23). Anal. calc. for C<sub>14</sub>H<sub>17</sub>NO<sub>4</sub>: C 63.85, H 6.51, N 5.32; found: C 63.65, H 6.43, N 5.37.

General Procedures for the Diels-Alder Reaction of the Dienophiles Dimethyl Acetylene-1,2-dicarboxylate (9), N-Phenylmaleimide (12), Methyl Propiolate (14), Methyl Vinyl Ketone (15), and (1-Acetylvinyl) 4-Nitrobenzoate (16) with the Dienes 4a, 5a/6a, 6b, and 6c. A mixture of the diene (2.5 mmol), the dienophile (5.0 mmol), and hydroquinone (0.003 g) in dry xylene (3 ml) was placed in a threaded ACE glass pressure-tube with a sealed teflon screw-cap under N<sub>2</sub> and in the dark. The mixture was stirred and heated until the reaction was complete. The solvent was removed *in vacuo*, and the residue was purified by CC (hexane/AcOEt 4:1) on SiO<sub>2</sub> (30 g/g of crude material).

 $(4R^*,7S^*)$ - and  $(4R^*,7R^*)$ -2,3,4,7-Tetrahydro-5,6-Bis[(methoxy)carbonyl]-4,7-dimethyl-3-phenyl[1,3]benzoxazol-2-one (**10** and **11**). The reaction between a mixture of **5a/6a** (29:71, 0.54 g) and dimethyl acetylenedicarboxylate (**9**) (0.71 g) at 150° for 3 h gave a mixture of **10/11** (32:68), which was separated to yield 0.53 g (60%) of **11** as colorless crystals.  $R_f$  0.30 (hexane/AcOEt, 7:3). M.p. 156–157° (CH<sub>2</sub>Cl<sub>2</sub>/hexane 7:3). IR (KBr): 1769, 1725, 1594, 1496, 1261, 1212, 1033. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>): 0.84 (d, J = 6.6, Me-C(4)); 1.36 (d, J = 6.6, Me-C(7)); 3.79 (s, CO<sub>2</sub>Me); 3.84 (s, CO<sub>2</sub>Me); 3.74–3.90 (m, H–C(4), H–C(7)); 7.30–7.55 (m, 5 arom. H); selected signals attributed to the minor isomer **10**: 1.03 (d, J = 6.5, Me-C(4)); 1.47 (d, J = 6.6, Me-C(7)); 3.81 (s, CO<sub>2</sub>Me); 3.85 (s, CO<sub>2</sub>Me). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 17.3, 18.0, Me-C(4,7)); 30.0, 30.2 (C(4,7)); 52.4 (CO<sub>2</sub>Me); 52.5 (CO<sub>2</sub>Me); 120.6 (C(3a)); 125.6, 128.3, 129.8 (5 arom. C); 134.1, 134.4 (C(5.6)); 135.4 (arom. C); 136.6 (C(7a)); 154.2 (C(2)); 167.15, 167.20 (2 CO<sub>2</sub>Me). EI-MS (70 eV): 357 (13,  $M^+$ ), 310 (100), 283 (16), 252 (18), 194 (9), 91 (7), 77 (29). Anal. calc. for C<sub>19</sub>H<sub>19</sub>NO<sub>6</sub>: C 63.86, H 5.36, N 3.92; found: C 63.55, H 5.54, N 3.68.

 $(4R^*,4aS^*,7aR^*,8R^*)$ -3-(2-Chloroethyl)-3,4,4a,5,6,7,7a,8-octahydro-4,8-dimethyl-6-phenyl-2H-[1,3]oxazolo[4,5-f]isoindole-2,5,7-trione (13). The reaction between **6g** (0.54 g) and N-phenylmaleimide (12) (0.86 g) at 150° for 3 h gave 0.76 g (82%) of 13 as colorless crystals.  $R_f$  0.33 (hexane/ACOEt 7:3). M.p. 91–92° (CH<sub>2</sub>Cl<sub>2</sub>/ hexane 7:3). IR (KBr) 1770–1695, 1509, 1455, 1381, 1210, 825. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>): 1.30, 1.32 (2d, J =6.6, 6.8, Me–C(4,8)); 3.16 (dd, J = 8.2, 1.0, H–C(4a)); 3.19–3.30 (m, H–C(4)); 3.52–3.60 (m, CH<sub>2</sub>N); 3.60– 3.71 (m, H–C(8)); 3.71–3.76 (m, CH<sub>2</sub>Cl); 3.91–4.00 (m, H–C(7a)); 7.18–7.23 (m, 2 arom. H); 7.34–7.45 (m, 3 arom. H). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 15.2, 20.6 (Me–C(4,8)); 23.7, 25.8 (C(4,8)); 40.6 (CH<sub>2</sub>N); 42.0 (C(4a) or C(7a)); 44.0 (CH<sub>2</sub>Cl); 47.5 (C(7a) or C(4a)); 123.0 (C(3a)); 126.1, 128.8, 129.3, 131.4 (6 arom. C); 134.6 (C(8a)); 155.4 (C(2)); 175.1, 176.1 (C(5,7)). EI-MS (70 eV): 376 (29,  $[M + 2]^+$ ), 374 (84,  $M^+$ ), 359 (35), 226 (14), 201 (100), 166 (34), 111 (14), 77 (33). Anal. calc. for  $C_{19}H_{19}ClN_2O_4 \cdot CHCl_3$ : C 48.50, H 4.27, N 5.66; found: C 48.32, H 4.17, N 5.72.

(4R\*,7R\*) and (4R\*,7S\*)-2,3,4,7-Tetrahydro-6-[(methoxy)carbonyl]-4,7-dimethyl-3-phenyl[1,3]benzoxazol-2-one (**17** and **19**) and (4R\*,7R\*)- and (4R\*,7S\*)-2,3,4,7-Tetrahydro-5-[(methoxy)carbonyl]-4,7-dimethyl-3-phenyl[1,3]benzoxazol-2-one (**18** and **20**). The reaction between a mixture of **5a/6a** (29:71, 0.54 g) and methyl propiolate (**9**) (0.71 g) at 150° for 4 h gave a mixture of **17/18/19/20** (77:7:10:6) [GC/MS, 5% PhMe Siloxane (ID: 0.25 mm, 30 m), IT = 70°C, 20°C/min, FT = 220°C, 80 ml/min:  $t_R$  22.80, 22.17, 22.89, 22.01, resp., which was separated to yield 0.47 g (63%) of **17** as a white powder.  $R_f$  0.44 (hexane/AcOEt 7:3). M.p. 128–129° (CH<sub>2</sub>Cl<sub>2</sub>/hexane 7:3). IR (KBr): 1768, 1721, 1594, 1496, 1396, 1250, 1041, 978. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>): 0.85 (*d*, *J* = 7.0, *Me*-C(4)); 1.40 (*d*, *J* = 6.7, *Me*-C(7)); 3.50–3.62 (*m*, H–C(4)); 3.67–3.80 (*m*, H–C(7)); 3.81 (*s*, CO<sub>2</sub>Me); 6.81 (*d*, *J* = 3,4, 1.4, H–C(5)); 7.32–7.54 (*m*, 5 arom. H); selected signals attributed to the minor isomer **19**: 0.91 (*d*, *J* = 6.9, Me – C(7)); 1.88, 19.1 (*Me*–C(4,7)); 2.86, 29.2 (C(4,7)); 5.2.0 (CO<sub>2</sub>Me); 12.06 (C(3a)); 125.5, 128.1, 129.6 (5 arom. C); 131.3 (C(6)); 134.4 (arom. C); 136.7 (C(7a)); 139.6 (C(5)); 154.2 (C(2)); 166.3 (*CO*<sub>2</sub>Me). EI-MS (70 eV): 299 (96, *M*<sup>+</sup>), 284 (100), 268 (15), 252 (50), 240 (80), 225 (78), 180 (55), 121 (38), 77 (44). Anal. calc. for  $C_{17}H_{17}NO_4$ : C 68.22, H 5.72, N 4.68; found: C 68.47, H 5.80, N 4.64.

 $(4R^*,6R^*,7R^*)$  and  $(4R^*,6S^*,7R^*)$ -6-Acetyl-3-(4-chlorophenyl)-2,3,4,5,6,7-hexahydro-4,7-dimethyl[1,3]benzoxazole-2-one (**25** and **26**). The reaction between **6c** (0.62 g) and methyl vinyl ketone (**15**) (0.35 g) at 150° for 3 h gave a mixture of **25/26** (73:27), which was purified by CC (hexane) to yield 0.48 g (60%) of **25** as a yellow oil.  $R_f$  0.29 (hexane/AcOEt 7:3). IR (film): 1768, 1711, 1495, 1376, 975. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>): 0.80 (d, J = 6.9, Me - C(4)); 1.05 (d, J = 6.8, Me - C(7)); 1.63–1.70 (m, 1 H - C(5)); 2.04–2.25 (m, 1 H - C(5)); 2.24 (s, MeC=O); 2.76–2.84 (m, H - C(4)); 3.03 (ddd, J = 12.6, 5.4, 2.4, H - C(6)); 3.16–3.26 (m, H - C(7)); 7.22–7.31 (m, 2 arom. H); 7.40–7.50 (m, 2 arom. H); selected signals attributed to the minor isomer **26**: 0.74 (d, J = 6.7, Me - C(4)); 1.17 (d, J = 6.7, Me - C(7)); 1.33–1.45 (m, H - C(5)); 2.26 (s, MeCO); 2.54–2.63 (m, H - C(6)); 2.82–2.97 (m, H - C(4)); 3.00–3.14 (m, H - C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 13.7 (Me - C(7)); 179 (Me - C(4)); 24.0 (C(4)); 25.7 (C(7)); 279 (C(5)); 28.3 (MeC=O); 46.3 (C(6)); 124.1 (C(3a)); 126.9, 129.4, 133.7, (6 arom. C); 137.1 (C(7a)); 153.9 (C(2)); 207.5 (MeC=O); selected signals attributed to the minor isomer **26**: 144.2 (Me - C(7)); 184 (Me - C(4)); 24.5 (C(4)); 26.4 (C(6)); 28.4 (C(7)); 30.9 (MeC=O); 46.9 (C(5)); 124.3 (C(3a)); 128.9, 129.6, 129.9, 137.5 (6 arom. C); 144.6 (C(7a)); 154.9 (C(2)); 208.0 (MeC=O). EI-MS (70 eV): 321 (27, [M + 2]<sup>+</sup>), 319 (80,  $M^+$ ), 304 (4), 276 (10), 249 (100), 232 (42), 166 (20), 138 (22), 111 (23), 95 (33). Anal. calc. for  $C_{17}H_{18}CINO_3$ : C 63.85, H 5.67, N 4.38; found: C 64.00, H 5.57, N 4.37.

 $(4\mathbb{R}^*,6\mathbb{R}^*,7\mathbb{R}^*)$ - and  $(4\mathbb{R}^*,6\mathbb{S}^*,7\mathbb{R}^*)$ -6-Acetyl-3-(3-chlorophenyl)-2,3,4,5,6,7-hexahydro-4,7-dimethyl-6-[(4-nitrobenzoyl)oxy][1,3]benzoxazol-2-one (**29** and **30**). The reaction between **6b** (0.62 g) and (1-acetylvinyl) 4-nitrobenzoate (**16**) (1.17 g) at 150° for 8 h gave a mixture of **29/30** (51:49), which was separated to yield 0.46 g (38%) of **29** and 0.35 g (29%) of **30** as pale yellow crystals (CH<sub>2</sub>Cl<sub>2</sub>/hexane 1:1).

*Data of* **29**:  $R_f$  0.61 (hexane/AcOEt 7:3). M.p. 232–233°. IR (KBr): 1766, 1721, 1591, 1523, 1483, 1348, 1280, 1099. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>): 0.64 (d, J = 7.2, Me–C(4)); 1.16 (d, J = 7.0, Me–C(7)); 2.22 (s, MeC=O); 2.56 (br. d, J = 15.6, 1 H–C(5)); 2.68 (dd, J = 15.6, 6.6, 1 H–C(5)); 2.97–3.09 (m, H-C(4)); 3.23 (q, J = 7.0, H–C(7)); 7.25–7.43 (m, 4 arom. H); 8.18–8.45 (m, 4 arom. H). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 15.3 (Me-C(7)); 18.1 (Me-C(4)); 24.1 (C(4)); 24.6 (MeC=O); 28.7 (C(5)); 34.9 (C(7)); 88.0 (C(6)); 123.1 (C(3a)); 123.8; 123.9; 126.0; 128.7; 130.6; 131.1; 134.1; 135.0; 135.1; 135.3; 151.0 (C–NO<sub>2</sub>); 154.5 (C(2)); 164.2 (ArC=O); 203.0 (MeC=O). EI-MS (70 eV): 486 (0.5, [M + 2]+), 484 (2, M+), 319 (43), 317 (100), 304 (25), 302 (79), 276 (18), 274 (50), 262 (16), 260 (47), 150 (8), 121 (6). Anal. calc. for C<sub>24</sub>H<sub>21</sub>ClN<sub>2</sub>O<sub>7</sub>: C 59.45, H 4.37, N 5.78; found: C 59.39, H 4.48, N 5.71.

*Data of* **30**:  $R_f$  0.58 (hexane/AcOEt 7:3). M.p. 230–231°. IR (KBr): 1764, 1723, 1589, 1528, 1480, 1352, 1277, 1097. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>): 0.79 (d, J = 6.7, Me–C(4)); 1.16 (d, J = 7.0, Me–C(7)); 2.00 (dd, J = 15.0, 10.4, 1 H–C(5)); 2.24 (s, MeC=O); 2.49–2.61 (m, H–C(4)); 2.80 (ddd, J = 15.0, 5.6, 1 H–C(5)); 3.21 (q, J = 7.0, H–C(7)); 7.10–7.40 (m, 4 arom. H); 8.18–8.46 (m, 4 arom. H). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 15.1 (Me–C(7)); 17.6 (Me–C(4)); 24.0 (C(4)); 24.7 (MeC=O); 31.5 (C(5)); 35.0 (C(7)); 87.7 (C(6)); 123.1 (C(3a)); 123.6; 124.0; 125.9; 128.6; 130.6; 131.0; 134.0; 135.3; 135.7; 136.0; 151.2 (C–NO<sub>2</sub>); 154.7 (C(2)); 163.8 (ArC=O); 202.9 (MeC=O). EI-MS (70 eV): 486 (0.4, [M + 2]<sup>+</sup>), 484 (2, M<sup>+</sup>), 319 (40), 317 (100), 304 (22), 302 (66), 276 (18), 274 (50), 262 (15), 260 (46), 150 (10), 121 (7). Anal. calc. for C<sub>24</sub>H<sub>21</sub>ClN<sub>2</sub>O<sub>7</sub>: C59.45, H 4.37, N 5.78; found: C59.47, H 4.52, N 5.69.

 $(6R^*,7R^*)$ - and  $(6R^*,7S^*)$ -6-Acetyl-2,3,4,5,6,7-hexahydro-7-methyl-6-[(4-nitrobenzoyl)oxy]-3-phenyl[1,3]-benzoxazol-2-one (**31** and **32**). The reaction between **4a** (0.59 g) and (1-acetylvinyl) 4-nitrobenzoate (**16**) (0.61 g, 2.6 mmol) at  $120^\circ$  for 8 h gave a mixture of **31/32** (80:20), which was separated to yield 0.56 g (60%) of

**31** as colorless crystals.  $R_f$  0.61 (hexane/AcOEt 7:3). M.p. 145–146° (CH<sub>2</sub>Cl<sub>2</sub>/hexane, 7:3). IR (KBr): 1767, 1718, 1522, 1397, 1353, 1277, 1081, 715. <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>): 1.16 (d, J = 7.0, Me–C(7)); 2.20–2.45 (m, 2 H–C(4), 1 H–C(5)); 2.25 (s, MeC=O); 2.70–2.84 (m, 1 H–C(5)); 3.18–3.32 (br. q, J = 7.0, H–C(7)); 7.28–7.53 (m, 5 arom. H); 8.20–8.42 (m, 4 arom. H); selected signals attributed to the minor isomer **32**: 1.32 (d, J = 7.0, Me–C(7)); 2.29 (s, MeC=O); 3.47–3.51 (m, H–C(7)). <sup>13</sup>C-NMR (75.4 MHz, CDCl<sub>3</sub>): 14.9 (Me–C(7)); 17.1 (C(4)); 21.8 (C(5)); 24.8 (MeC=O); 34.9 (C(7)); 88.0 (C(6)); 119.4 (C(3a)); 123.9; 124.8; 127.8; 129.5; 131.0; 131.5; 133.9; 135.8; 151.1 (C–NO<sub>2</sub>); 154.3 (C(2)); 163.7 (ArC=O); 203.1 (MeC=O). EI-MS (70 eV): 436 (6,  $M^+$ ), 269 (100), 254 (31), 226 (96), 201 (14), 150 (70), 120 (13), 77 (15). Anal. calc. for C<sub>2</sub>;H<sub>20</sub>N<sub>2</sub>O<sub>7</sub>: C 63.30, H 4.62, N 6.42; found: C 63.49, H 4.60, N 6.26.

Single-Crystal X-Ray Crystallography. Crystals of **11** and **13** were obtained from CH<sub>2</sub>Cl<sub>2</sub>/hexane 7:3, and from CH<sub>Cl<sub>3</sub></sub>/hexane 1:1, respectively, and were mounted on glass fibers. Crystallographic measurements were performed on a Siemens P4 diffractometer using Mo  $K_a$  radiation (graphite crystal monochromator,  $\lambda = 0.71073$  Å) at r.t. Three standard reflections were monitored periodically; they showed no change during data collection. Unit-cell parameters were obtained from least-squares refinement of 26 reflections in the range  $2 < \theta < 20^{\circ}$ . Intensities were corrected for Lorentz and polarization effects. No absorption correction was applied. Anisotropic temperature factors were introduced for all non-H-atoms. H-Atoms were placed in idealized positions and their atomic coordinates refined. Unit weights were used in the refinement. Structures were solved using the SHELXTL [28] program on a personal computer.

*Data for* **11**: Formula:  $C_{19}H_{19}NO_6$ ; molecular weight: 357.35; cryst. size:  $0.16 \times 0.48 \times 0.60$  mm; cryst. syst.: monoclinic; space group: *C*2/c; unit-cell parameters: *a* 28.894(3), *b* 5.978(2), *c* 22.641(2) (Å); *a* 90,  $\beta$  114.443(6),  $\gamma$  90 (deg); *V* = 3560(2) (Å<sup>3</sup>); temp. (K): 293 (2); *Z*: 8;  $D_x = 1.333$  mg/m<sup>3</sup>; absorption coefficient: 0.100 mm<sup>-1</sup>;  $\theta$  scan range: 1.55 – 27.00 (deg); No. of reflections collected: 4923; No. of independent reflections: 3888; No. of observed reflections: 3854; *R*: 0.0537; *wR* = 0.1392; *s*: 1.029.

*Data for* **13** · CHCl<sub>3</sub>: Formula:  $C_{20}H_{20}Cl_4N_2O_4$ ; molecular weight: 494.18; cryst. size:  $0.2 \times 0.4 \times 0.85$  mm; cryst. syst.: triclinic; space group: P-1; unit-cell parameters: *a* 9.467(2), *b* 11.1261(14), *c* 11.6520(11) (Å); *a* 76.308(9),  $\beta$  76.538(10),  $\gamma$  69.412(12) (deg); V = 1101.1(2) (Å<sup>3</sup>); temp. (K): 293 (2); *Z*: 2;  $D_x = 1.491$  mg/m<sup>3</sup>; absorption coefficient: 0.567 mm<sup>-1</sup>;  $\theta$  scan range: 1.82–28.00 (deg); No. of reflections collected: 6178; No. of independent reflections: 5227; No. of observed reflections: 5182; *R*: 0.0663; *wR* = 0.1780; s: 1.084.

Crystallographic data (excluding structure factors) for the structures reported in this paper have been deposited with the *Cambridge Crystallographic Data Centre*, as deposition No. CCDC-168422, for **11**, and CCDC-168421, for **13**. Copies of the data can be obtained, free of charge, on application to the Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge, CB21EZ, UK (fax: +44(1223)336033; e-mail: deposit@ccdc.cam.ac.uk).

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